

Lin (Lynn) Bai

Address

University of Cincinnati
College of Law
Clifton Avenue & Calhoun Street
Cincinnati, OH 45221

Phone: (513) 556-0194

Email: lin.bai@uc.edu

Education

Ph.D., Finance, Duke University, Fuqua School of Business, 2006.

J.D., University of Texas at Austin, 1990.

L.L.M., Pennsylvania State University, Dickinson School of Law, 1987.

B.A. (equivalent), Beijing University, 1986.

Employment

Professor of Law, University of Cincinnati College of Law, July 2012 – Present

Visiting Associate Professor of Law, University of Georgia School of Law August 2012
– December 2012

Associate Professor of Law, University of Cincinnati College of Law, August 2010 –
Present.

Assistant Professor of Law, University of Cincinnati College of Law, July 2007 – July
2010.

Senior Manager, Securities and Futures Commission, Hong Kong, 1997 – 2000.

Director, Transpacific Financial Corporation, New York, 1995 – 1997.

Associate, Oppenheimer & Co., International Corporate Finance, New York, 1992 –
1994.

Associate, O'Melveny & Myers, New York, 1990 – 1992.

Research and Publications

Broker-Dealers, Institutional Investors and Fiduciary Duty: Much Ado About Nothing?
(Forthcoming, WILLIAM & MARY BUSINESS LAW JOURNAL, fall 2013)

*The Performance Disclosures of Credit Rating Agencies: Are They effective Reputational
Sanctions?* NEW YORK UNIVERSITY JOURNAL OF LAW AND BUSINESS, Vol. 7,
No. 1 (2010).

Lying and Getting Caught: An Empirical Study of the Effects of Securities Class Action Settlements on Targeted Firms, UNIVERSITY OF PENNSYLVANIA LAW REVIEW (Symposium), Vol. 158, July 2010 (with James D. Cox, and Randall S. Thomas).

On Regulating Conflict of Interest in the Credit Rating Industry, NEW YORK UNIVERSITY JOURNAL OF LEGISLATION AND PUBLIC POLICY, Vol. 13, May 2010.

Deterring “Double-Play” Manipulation in Financial Crisis: Increasing Transaction Cost as a Regulatory Tool, NORTH CAROLINA JOURNAL OF INTERNATIONAL LAW AND COMMERCIAL REGULATION, Vol. 35, 2009 (with Rujing Meng).

Do Differences in Pleading Standards Cause Forum Shopping in Securities Class Actions? Doctrinal and Empirical Analyses, WISCONSIN LAW REVIEW (Symposium), Vol. 2009, No. 2, March 2009 (with James D. Cox, and Randall S. Thomas).

There are Plaintiffs and.... There are Plaintiffs: An Empirical Analysis of Securities Class Action Settlements, VANDERBILT LAW REVIEW (Symposium), Volume 61, Number 2, March 2008 (with James D. Cox, and Randall S. Thomas), selected as “Top 10 Corporate and Securities Articles of 2008” by Corporate Practice Commentator.

The Uptick Rule of Short Sale Regulation – Can It Alleviate the Downward Pressure from Negative Earnings Shocks? RUTGERS BUSINESS LAW JOURNAL, Vol. 5, No. 1, spring 2008

The Reformed Broker Contribution Rules, INTERNATIONAL FINANCIAL LAW REVIEW, Vol. XIX, No.2 (2000).

Hong Kong’s New Compulsory Stock Borrowing Program, JOURNAL OF FINANCIAL REGULATION AND COMPLIANCE, Vol. 8, No. 1 (2000).

Hong Kong Clearing’s Investor Protection Initiative, CAPITAL ASIA, Vol. 10, No. 3 (1999).

The Role of Exxon-Florio Act in Regulating Foreign Companies’ Investments in the U.S., PEACE, JUSTICE AND LAW (in Chinese language, 1993).

U.S. Registration Requirements for Multi-National Offerings, REVIEW OF SECURITIES AND COMMODITIES REGULATION, Vol. 25, No. 12 & 13 (June, July 1992).

Recent Presentations

February 24, 2011, “*The Performance Disclosures of Credit Rating Agencies: Are They Effective Reputational Sanctions?*” University of Dayton School of Law, Dayton, OH.

February 15, 2010, “*Lying and Getting Caught: An Empirical Study of the Effect of Securities Class Action Settlements on Targeted Firms*”, Duke University School of Law, Judicial Behavior Workshop, Durham, NC.

January 2010, “*On Regulating Conflict of Interest in the Credit Rating Industry*”, Boston College Law School, Newton, MA.

March 2009, “*Double-Play*” *Manipulation in Financial Crisis: Increasing Transaction Cost as a Regulatory Tool*, University of Kentucky, School of Law.

February 2008, *The Role of Institutional Lead Plaintiffs in Securities Class Actions*. Conference on the Future of Securities Fraud Litigation, Financial Economics Institute Claremont McKenna College, Claremont, CA.

January 2008, *Should Institutions Lead Securities Class Actions? – Empirical Evidence*. University of Pittsburgh, School of Law.

September 2007, *The Uptick Rule of Short Sale Regulation – Can It Alleviate Downward Price Pressures from Negative Earnings Shocks?* 24th Annual European Association of Law and Economics, Copenhagen, Denmark.